

1 **Can remote sensing estimate fine-scale quality indicators of natural** 2 **habitats?**

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17

18 **ABSTRACT**

19 Efficient management and conservation of natural habitats requires a thorough knowledge and

20 sustained monitoring of their ecological quality. In recent years, several methods have been

21 developed to assess the local conservation status in the field. These typically combine

22 estimates of coarse-scale indicators, such as tree and grass encroachments, with very fine-

23 scale indicators that require precise fieldwork, such as the number of key species present. We

24 first tested whether coarse-scale field characteristics can provide information on fine-scale

25 indicators. Then, this idea was extended to remote sensing techniques to derive estimates of

26 fine-scale properties that cannot be derived directly by the sensors. The method was

27 elaborated for two Natura 2000 heathland areas, combining field conservation status

28 assessments of over 650 locations with remote sensing information derived from an airborne

29 hyperspectral scanner image. Boosted regression trees using field estimates of coarse-scale

30 parameters as predictors were able to explain up to 43% of the variation in the fine-scale

31 indicators. When using remote sensing data, models performed only slightly less. Up to 35%

32 of the variation was explained using remote sensing estimates of coarse-scale parameters as

33 predictors, and up to 39% was explained when additional remotely sensed land cover data

34 were included in the models. Although these rates are not high in absolute terms, model

35 predictions for certain parameters were more precise than field estimates, especially for
36 criteria with a high between-observer variability. These results clearly illustrate the potential
37 of remote sensing imagery to derive information on the conservation status of habitats, even
38 for fine-scale elements that are too small to be derived directly.

39

40 **KEYWORDS:** remote sensing; conservation status; habitat quality; Natura 2000; heathland;
41 boosted regression trees

42

43 **RUNNING TITLE:** Remote sensing of habitat quality

44

45 **1 INTRODUCTION**

46

47 1.1 Conservation status assessment

48 Deterioration of natural areas has a strong negative impact on the local biodiversity, and may
49 put rare and threatened species at a serious risk. Many monitoring programmes have been
50 initiated to detect such alterations, often in response to national and international obligations
51 (e.g. the Vital Signs Monitoring by the National Park Service in the USA; monitoring
52 programmes of various member states of the European Union related to the Habitats and
53 Birds Directives). In most programmes, the required information is visually extracted from
54 aerial photographs, in combination with field visits for a detailed description of the local
55 situation (Allard, 2003; Aplin, 2005; Vanden Borre et al., 2011). In recent years, several
56 methods have been developed to assess the quality of habitat patches in the field (e.g. Fancy
57 et al., 2009; Parkes et al., 2003; T'jollyn et al., 2009). Parameters that are typically evaluated
58 comprise structural characteristics (e.g. proportion of dead wood in a forest), disturbance-
59 related criteria (e.g. grass and tree encroachment in open habitats), characteristics related to
60 the floristic composition (e.g. number of occurring key species) and landscape configuration
61 (e.g. connectivity and isolation) (Bock et al., 2005; Tiner, 2004). As all these parameters
62 relate to specific properties of the habitat (Bock et al., 2005; Parkes et al., 2003; Søggaard et
63 al., 2007), estimates of each individual indicator are desired, rather than combining them in
64 one joint quality indicator (T'jollyn et al., 2009).

65

66 1.2 Field assessment

67 National and regional field monitoring programmes provide accurate indications of the actual
68 conservation status of natural habitats, and they are definitely helpful to detect and follow
69 pressures and threats on natural systems. However, field methods have some major
70 drawbacks. First, a frequent wall-to-wall coverage of large areas solely based on fieldwork is
71 highly unrealistic due to budget constraints. Moreover, field visits to inaccessible zones such
72 as military terrains, large wetlands or remote areas are inherently difficult. Second, field
73 mapping is relatively slow, and the digitalization and processing of field data from large areas
74 can take up to several years. Third, despite the existence of strict rules for field mapping,
75 between-observer errors clearly remain an issue (Hearn et al., 2011; Sykes et al., 1983),
76 making it extremely difficult to compare and integrate results, let aside to quantify the
77 occurring changes.

78 1.3 Remote sensing assessment: opportunities and limitations

79 Remote sensing techniques have often been suggested as valuable tools for mapping and
80 monitoring natural areas. Based on (semi-)automated analysis of air- and spaceborne images,
81 the accurate detection of changes is possible in nearly real-time (Stone, 2010). Additionally,
82 vast areas can be covered simultaneously, and a wide range of automated image processing
83 algorithms avoids observer bias. As a result, remote sensing has been successfully used for
84 many ecological applications, such as detecting land-use changes, monitoring deforestation,
85 estimating carbon sequestration, detecting vegetation stress,... (reviewed by Aplin, 2005;
86 Horning et al., 2010; Kerr & Ostrovsky, 2003; Wang et al., 2010; Xie et al., 2008).
87 Surprisingly, the use of remote sensing for accurate, detailed and complete conservation status
88 assessment and monitoring of natural habitats, such as required in the European Natura 2000
89 context, is still rarely exploited (Vanden Borre et al., 2011, but see Förster et al., 2008 and
90 Haest et al., 2010 for two recent examples). Possibly, a historical gap between the remote
91 sensing and the nature conservation communities has caused a delay in the development of
92 such applications (Asner et al., 1998; Wang et al., 2010). However, the limited capacity of
93 current sensors to discriminate individual herbs at a species level still discourages many
94 vegetation ecologists (Bradley & Fleishman, 2008). Indeed, discerning small and similar-
95 looking structures belongs to the major limitations of current remote sensing (Lechner et al.,
96 2009). Despite the advances in air- and spaceborne sensors, with an ever-increasing spectral
97 and spatial range and resolution, and despite the parallel growth in data analysis approaches,
98 the identification of small non-dominant plants, especially herbs, remains a huge challenge.
99 Although recent developments in the domains of spectral unmixing and fuzzy classifications
100 (Foody & Cox, 1994), super-resolution image reconstructions (Park et al., 2003) and data
101 fusion may provide details on a sub-pixel level, it remains unlikely that individual herbs will
102 be recognizable from the sky in the near future.

103

104 But do we really need extremely detailed imagery for a complete conservation status
105 assessment? Or in other words, are fine-scale indicators really unmeasurable with current
106 remote sensing techniques? Many of these indicators are probably correlated with coarse-
107 scale parameters, and hence, they can indirectly be derived from indicators that can be
108 measured with remote sensing. To investigate this idea, we first determined to what extent the
109 coarse-scale field characteristics can model the more subtle fine-scale indicators, based on an
110 extensive dataset of conservation status assessments of heathland patches visited in the field.
111 In a second step, we checked if these fine-scale parameters could also be predicted by remote

112 sensing estimates of the coarse-scale characteristics. Finally, we tested if the inclusion of
113 other land cover data from remotely sensed origin could further improve the model
114 performances (see workflow of the study in Figure 1).

115

116

117 **2 MATERIAL & METHODS**

118

119 2.1 Heathland ecosystems

120 In this article, we focus on the conservation status assessment of four typical habitat types of
121 West-European lowland heathland: dry sand heaths with *Calluna* and *Genista*, inland dunes
122 with open *Corynephorus* and *Agrostis* grasslands, European dry heaths with *Calluna*, and
123 Northern Atlantic wet heaths with *Erica tetralix* (Table 1). As a result of drastic changes in
124 agricultural practice (Webb, 1998), these semi-natural habitats have shown a dramatic decline
125 since the early 19th century. Heathlands are now largely restricted to nature reserves, military
126 zones and remote areas. Despite their legal protection under the European Habitats Directive
127 (92/43/EEC), atmospheric nitrogen deposition, desiccation, tree and grass encroachment, and
128 invasive species continue to impose severe pressures on the remaining heathland ecosystems.
129 Consequently, their ecological value is further decreasing, potentially resulting in a rapid
130 change of the conservation status (De Blust, 2005). The impact of these pressures can be
131 measured in the field by evaluating several “quality indicators” (e.g. Ellmauer, 2005; Søggaard
132 et al., 2007; T’jollyn et al., 2009; Verbücheln et al., 2002 and Table 1). For example, a dry
133 heathland in good condition is characterized by a rich structural variation with young and old
134 heather plants (*Calluna vulgaris*), which can be evaluated by verifying the presence of the
135 different age classes of the shrub (T’jollyn et al., 2009; Verbücheln et al., 2002).

136

137 2.2 Fieldwork

138 In the summer of 2009, we evaluated the local conservation status of habitat patches in two
139 Natura 2000 heathland sites in northern Belgium (“Kalmthoutse Heide” and “Klein en Groot
140 schietveld”; 51°22’N, 4°27’E). First, a set of 559 sample points were selected following a
141 stratified random design, taking in-between distance and vegetation type into account. Around
142 these points, one or more vegetation patches were delineated based on the guidelines for
143 European habitat surveillance (“BioHab”, Bunce et al., 2005, 2008), which resulted in a total
144 of 671 plots of 0.37 hectares on average (range 0.04 to 1.0 ha). For all these patches, the
145 Natura 2000 habitat type was defined and the quality indicators relevant for that habitat type

146 were estimated (Table 1). Vegetation cover was determined visually, either on a continuous
147 scale (but showing a typical bias towards multiples of ten), or on a Tansley scale (see T'jollyn
148 et al. 2009 for details). T'jollyn et al. (2009) provides relevant indicators and corresponding
149 threshold values to evaluate the conservation status of all Natura 2000 habitats, including
150 heathland habitat types. It was approved by policy makers, conservation scientists and land-
151 using stakeholder representatives (farmers, industry), and has become the standard for
152 conservation status assessment in Flanders (northern Belgium).

153

154 2.3 Remote sensing data

155 An Airborne Hyperspectral Scanner (AHS 160) image with 2.4m spatial resolution was
156 acquired in June 2007. The image was classified with a linear discriminant analysis using an
157 independent training dataset of 1325 carefully selected, homogeneous plots (details in Haest
158 et al., 2010). We used the four-level hierarchical classification scheme for heathlands that was
159 developed in the HABISTAT project, with six broad land cover classes at level 1, reaching 24
160 detailed classes at level 4 (Table 2). The classification scheme not only includes classes
161 needed to distinguish the Natura 2000 habitat types, but also contains some classes related to
162 the conservation status of natural habitats. The approach resulted in a series of four
163 hierarchical maps with an increasing number of land cover classes, but also with a decreasing
164 accuracy: the overall accuracy of the land cover maps estimated by a leave-one-out cross-
165 validation was 93%, 88%, 84% and 74% for level 1 to 4 respectively (Haest et al., 2010).
166 Based on these four maps, we derived remote sensing estimates of the coarse-scale indicators,
167 as well as the proportion of each land cover class in each vegetation patch that was delineated
168 and assessed in the field, for all four levels of the classification scheme.

169

170 2.4 Estimating fine-scale indicators

171 Some of the quality indicators used for assessing the local conservation status typically occur
172 on a coarse scale and are detectable in a straightforward manner (e.g. using supervised
173 classification) with remote sensing techniques (such as grass encroachment, e.g. Clinton et al.,
174 2010; Peterson, 2005; shrub and tree encroachment, e.g. Bai et al., 2005; Waser et al., 2008;
175 and cover of sand, e.g. Ivits et al., 2009; Shanmugam et al., 2003). In contrast, other
176 indicators such as the number of key species or the age classes of *Calluna* are of much finer
177 nature, and hence pose much bigger challenges to be detected from a distance (Lechner et al.,
178 2009). The cut-off between what is considered small-scale and coarse-scale will clearly
179 depend on the spatial resolution of the imagery used. Here, we define fine-scale as occurring

180 in patches (or requiring details) much smaller than 1 m². These characteristics are therefore
181 too fine for a reliable detection from space, even with very high resolution satellites.
182 Difficulties to directly derive very detailed indicators may, however, be circumvented if fine-
183 scale indicators can be estimated indirectly from remote sensing data. To find out to what
184 extent “measurable” characteristics can predict the “unmeasurable” fine-scale indicators, we
185 used stochastic gradient boosting (Friedman, 1999), better known as boosted regression trees
186 (BRT). BRT combine regression models with a stochastic boosting algorithm (Friedman,
187 1999), resulting in a flexible and powerful technique that has recently gained popularity in
188 ecological applications (De’ath, 2007; Elith et al., 2008; Leathwick et al., 2006). In the first
189 set of BRT, fine-scale characteristics that were *a priori* expected to be difficult to detect with
190 remote sensing techniques were modelled using the field estimates of the coarse-scale
191 indicators as predictors (i.e. tree encroachment, grass encroachment, occurrence of dwarf
192 shrubs and cover of sand). In a second step, we used remote sensing estimates of the same
193 coarse-scale quality indicators as explaining variables. Lastly, we modelled the fine-scale
194 parameters based on the proportion of all land cover classes in the sample plots, for each level
195 of the classification scheme (see workflow in Figure 1). BRT analyses were performed using
196 the R-package “gbm” (Ridgeway, 2007) and the R-script supplied by Elith et al. (2008).
197 Models were built with a learning rate of 0.001 and a bag fraction of 0.5 for the habitatypes
198 2310, 4010 and 4030, and 0.0001 and 0.75 respectively for habitat type 2330 to account for
199 the smaller sample size. Tree complexity was set at 4. We performed a 10-fold cross-
200 validation by dividing the dataset randomly following a 9:1 training:validation ratio. Models
201 were evaluated based on the proportion of the deviance explained, the Pearson correlation
202 coefficient between fitted and observed values, and the root mean square error (RMSE), all of
203 which were averaged over the 10 repeats. Due to the small number of Natura 2000 habitats,
204 classification levels and indicators considered in this study, non-parametric statistics were
205 used for all model comparisons; details on these tests can be found in Lehman (1998).

206

207 2.5 Validation: comparison with variability of field estimates

208 To place our results in a proper context, the same evaluation criteria were calculated for a
209 small field exercise to document between-observer variability of conservation status
210 assessments. For this test, seven experienced observers were asked to independently estimate
211 habitat quality indicators of dry sand heath 2310 in 13 habitat patches in the “Kalmthoutse
212 heide”. Habitat patches averaged 0.4 ha (ranging from 0.1 to 0.9 ha). In order to avoid error
213 due to variation in patch boundaries, the delineation was done in advance by a single person,

214 based on Bunce et al. (2005, 2008). Estimates of each quality indicator of each observer were
215 then compared with the mean value of the six other observers. The latter was considered to be
216 a proxy of the true value.

217

218

219 **3 RESULTS**

220

221 3.1 Analysis of field data

222 Habitat quality strongly differed between plots. All coarse-scale parameters (i.e. tree, dwarf
223 shrub, grass and open sand cover, Table 1) showed substantial variation, especially in the
224 amount of grass encroachment and the occurrence of dwarf shrubs (see Figure 2). Some fine-
225 scale parameters also differed between the plots (e.g. the cover of native mosses and the
226 invasive *Campylopus*), while other parameters showed almost no variation in our study site
227 (e.g. the number of key species in dry heathland '4030', see Figure 3).

228 Field estimates of some - but not all - fine-scale indicators could be adequately approximated
229 by BRT using the four coarse-scale field parameters as predictors (evaluation statistics are
230 given in Table 3a). This was especially true for the cover of native mosses in sand heath and
231 the number of key species in wet heathland and in sand heath, for which over 30% of the
232 deviance was explained by the model. BRT performed less for other parameters and were
233 worst for fine-scale characteristics showing almost no variation in the field (e.g. cover of
234 lichens on inland dunes 2330, number of *Sphagnum* species in wet heathland 4010, number of
235 key species in dry heathland 4030, Figure 3), although this trend was not significant
236 (Spearman rank test, $p = 0.92$). Despite the moderate performances, the models were still able
237 to predict values that are correlated with the field estimates of the fine-scale parameters
238 (Table 3a; all correlations $p < 0.05$). No differences were found in BRT performances
239 between the four Natura 2000 habitat types (Kruskal-Wallis rank sum test, $p = 0.16$). The few
240 indicators that are identical for two habitat types (i.e. cover of *Campylopus* in 2310 and 2330
241 and the age structure of *Calluna* in 2310 and 4030) showed rather similar results in both
242 habitat types. Given the positive results for at least some fine-scale indicators, we further
243 explored the possibilities of BRT to obtain information on the fine-scale parameters from a
244 remote sensing product.

245

246 3.2 Analysis of remote sensing data

247 Remote sensing estimates of the coarse-scale parameters correlated well with our field
248 estimates, although differences between field and remote sensing estimates were often
249 substantial (Figure 2). Many of these errors corresponded with “avoidable confusions”:
250 overestimation of tree cover by remote sensing (Figure 2a) mostly related to misclassification
251 of cloud and tree shadows, mixed pixels and ecotone vegetations resulted in the
252 underestimation of grass cover (Figure 2c), and confusion between bare agricultural fields and
253 freshly sod-cut sandy soils hampered the estimation of open sand (Figure 2d). As a result of
254 these confusions, remote sensing estimates of the four coarse-scale parameters were slightly
255 less suitable to predict the fine-scale parameters compared to field estimates (Wilcoxon
256 signed rank paired test $p = 0.049$ and $p = 0.19$ for the correlation coefficients and the
257 proportions of the deviance explained respectively). Still, for three fine-scale parameters, over
258 30% of the deviance was explained, and correlations between predicted and observed values
259 were (mostly) significant (Table 3b; individual p-values not shown).

260 When predictor variables were not restricted to the coarse-scale parameters, i.e. when all
261 remotely sensed land cover classes of Table 2 were included, model performances varied
262 depending on the classification level (aligned ranks test, $Q = 21.42$, $df = 3$, $p < 0.0001$, Figure
263 4). Models based on level 1 land cover classes (only six broad categories) were slightly worse
264 than models based on the four coarse-scale indicators, while models based on level 2, 3 and 4
265 performed significantly better (Table 4, Figure 4). Evaluation statistics for the model based on
266 level 4 are given in Table 3c.

267

268 3.3 Comparison with field estimates

269 BRT models did not explain all variation observed in the fine-scale parameters, and might
270 therefore seem unsuitable. Based on a small-scale field exercise, however, we found that there
271 is also substantial variation between observers in estimating the exact value of some of the
272 fine-scale characteristics (Table 3d, Figure 5d). In general, field estimates of the fine-scale
273 parameters are better compared to estimates derived from hyperspectral image classification
274 (Table 3), but there is strong overlap. For example, we found that predictions from the best
275 BRT models using remote sensing data are better than field estimates of the parameters with
276 the highest between-observer variability, as illustrated for the cover of the invasive moss
277 *Campylopus introflexus* in Figure 5. Although the small sample size does not permit to draw
278 solid conclusions from this exercise alone, it seems that model predictions using level 2 to 4
279 classes as predictors are well suited to predict some fine-scale indicators.

280

281

282 **4 DISCUSSION**

283

284 4.1 Predictability of fine-scale indicators

285 In this study, we tested if coarse-scale quality indicators could model the fine-scale quality
286 indicators of heathland habitats, and used this approach to indirectly derive estimates of fine-
287 scale indicators from remotely sensed data. On the one hand, our results showed that some
288 fine-scale indicators, such as the cover of native and invasive mosses on inland dunes and the
289 cover of *Sphagnum* mosses, can indeed adequately be predicted based on coarse-scale
290 parameters that are mappable with remote sensing techniques. We found only minor
291 differences in model performances between models based on field and remotely sensed
292 estimates of coarse-scale parameters, or between models based on other measures derived
293 from the remote sensing map as predicting variables. Only when a limited number of very
294 broad land-cover categories were used as predictors (i.e. our level 1, with only six classes),
295 the model performance dropped significantly, as hardly any relevant quality information is
296 included in these land cover units. On the other hand, models for some other fine-scale
297 indicators performed very badly, especially for indicators that varied very little among field
298 plots (e.g. cover of lichens on inland dunes 2330, number of *Sphagnum* species in wet
299 heathland 4010, number of key species in dry heathland 4030). Not surprisingly, such data
300 could not be properly approached by statistical models.

301 When focussing on the characteristics with higher variability, boosted regression tree models
302 were able to yield predictions that were strongly correlated with independent field estimates
303 (correlation coefficients ranging from 0.5 to 0.7). But with only 25 – 40 % of the deviance
304 explained, there were still substantial differences between field estimates and model
305 predictions. However, it should be noted that different observers show an equal amount of
306 variation in their estimates, especially for features that are difficult to assess in the field. In
307 this study, this was the case for the invasive moss *Campylopus introflexus* and for the number
308 of age classes of *Calluna*; but other studies documented high variability between observers
309 for a whole range of plant species and vegetation types, especially when estimates are made in
310 larger areas (e.g. Bergstedt et al., 2009; Cherrill & McClean, 1999; Kennedy & Addison,
311 1987; Sykes et al., 1983). In the framework of this study, such high variation between field
312 observers is relevant in two ways. First, the modelling approach of this study is yielding
313 estimates that are as valid as field estimates. This is one of the important prerequisites for
314 potential users to accept remote sensing as a tool for habitat monitoring (Vanden Borre et al.,

315 2011). Second, since the models are trained and validated with field data, errors in these data
316 will propagate in the model evaluation, hereby underestimating the actual potential of BRT
317 modelling for predicting fine-scale parameters. Under ideal conditions, very accurate data
318 should be used for training and validation of the models, but knowing the true plant cover and
319 quality values in real-life field situations will, of course, always remain problematic.

320

321 4.2 Using indicators for monitoring the conservation status?

322 Despite the equal performance of some BRT models and field-driven estimates, differences
323 between observers and between field and remote sensing estimates can still be large in
324 absolute terms, even for the indicators that are easy to estimate. This questions the usability of
325 estimating some of the habitat quality indicators for conservation status assessment and long
326 term monitoring, not only when using remote sensing data, but also when using field-driven
327 estimates. While our method can easily calculate an overall figure for each quality indicator or
328 provide rough maps of both coarse- and fine-scale quality indicators, it is much more
329 challenging to detect statistically reliable changes in the conservation status with such noisy
330 data. Several options are available to solve the problem. First, the most pragmatic solution is
331 to ignore all parameters that are too difficult to estimate – either in the field or by remote
332 sensing. However, as indicators are typically chosen because they respond to a specific
333 process of interest, some important threats to the ecosystem would be overlooked. This option
334 is therefore undesirable. A second way is to increase the sample size. When remote sensing
335 products are available, this can easily be done at (almost) no extra costs. In contrast, fieldwork
336 costs are directly related to the sample size. Similarly, it may be easier to obtain detailed
337 (yearly) time series from remote sensing imagery than from fieldwork. Third, reducing the
338 error of the estimates can further help to detect relevant changes. For fieldwork, there are few
339 possibilities to improve the visual estimations: e.g. focussing on small permanent fieldplots,
340 averaging estimates of several observers, or computer-aided training (Gallegos Torell &
341 Glimskär, 2009). However, for our method, more possibilities for improvement are at hand,
342 both in terms of modelling and image processing. Some examples: i) focussing on the
343 “avoidable confusions” (e.g. by including ancillary data in the classification) may further
344 improve classification results; ii) alternative ways of classification (e.g. fuzzy classification,
345 spectral unmixing) may be better suited for areas with many gradients between classes; iii)
346 alternative modelling approaches may perform even better than the BRT used in this study,
347 a.o. because regression trees are known to have difficulties with modelling linear relations
348 (Elith et al. 2008); iv) model performances may further increase when they are trained using

349 selected sites covering the whole quality range, rather than the random samples we used here.
350 Of course, some of these improvements will be more successful than others, and this will
351 depend on each specific situation. As a fourth option, the issue of noisy estimates of quality
352 indicators can also be avoided. Remote sensing methods may be better suited to detect the
353 underlying processes influencing the habitat quality, rather than the quality indicators that
354 were essentially designed for fieldwork. For example, shifting inland dunes can be
355 documented directly using time series (Vermeesch & Drake, 2008), and several indices can be
356 used to estimate biophysical or stress parameters (Delalieux et al., 2009; Ustin et al., 2005),
357 all of which are potentially relevant for conservation status assessment (Vanden Borre et al
358 2011). So even if remote sensing cannot exactly measure the quality indicator of interest, it
359 may often be of help in other ways (see also Bradley & Fleishman, 2008). Unfortunately,
360 alternative methods for conservation status assessments of habitats are still little developed, as
361 current approaches have mainly focussed on field methods. The long tradition of field-based
362 conservation status assessments may even impede the acceptance of novel, alternative
363 approaches by ecologists.

364

365 4.3 Mapping the conservation status: practical and operational considerations

366 Although care is required when aiming at very detailed monitoring, remote sensing seems
367 nevertheless very suitable for an indicative mapping of the conservation status, not only for
368 coarse-scale characteristics such as grass and tree encroachment, but also for less evident
369 characteristics such as the number of key species in wet heathland or the encroachment by the
370 invasive moss *Campylopus introflexus*. By applying this method, it becomes possible to
371 produce wall-to-wall maps of the quality of natural habitat, even for large and inaccessible
372 terrains. Based on such maps, terrain managers can assess where and how much effort is
373 needed to bring or keep an area in a favourable conservation status. The method also seems
374 very flexible, as illustrated by the comparable performance with different remote sensing
375 classifications as input, as long as the classes are specific and numerous enough. Furthermore,
376 both manually and automatically delineated habitat patches can be used as objects of interest,
377 in which the composition of coarse-scale indicators or other landcover classes can easily be
378 calculated. Finally, modelling the fine-scale characteristics can easily be done with boosted
379 regression trees, which are currently available in many statistical software packages, including
380 in the free, open-source software environment R.

381 When considering an operational system, an extensive cost-benefit analysis remains essential.

382 Although the advantages of a detailed, wall-to-wall conservation status map are obvious, two

383 major limitations of the newly proposed method should be closely considered. First, a very
384 high resolution airborne hyperspectral scanner image was used in this study, and ample
385 training points were available for the classification of the imagery. Obtaining such imagery
386 and associated field dataset is costly, and therefore it may be unrealistic to have such imagery
387 available at regular intervals for large areas. However, our results indicates that the most
388 detailed classes are not essential for predicting the small-scale indicators (we found only
389 minor differences between levels 2, 3 and 4), and that lower resolution imagery might hence
390 suite the purpose as well. Second, the flexible, non-parametric modelling techniques we
391 applied here are also data-hungry. For training and testing the BRT models, we used
392 conservation status assessments of more than a tenth of the core heathland area of the study
393 site. The use of less data-hungry modelling techniques might be an option, and more efficient
394 field sampling (e.g. Foody et al. 2006) may further reduce the required sample size.

395

396 To summarize, this study clearly illustrated the current and discussed the future potentials of
397 combining remote sensing methods with advanced statistical modelling techniques. This way,
398 information on habitat quality can be derived, even for characteristics that were *a priori*
399 unexpected to be detectable from the sky. By doing so, a fast and regular wall-to-wall
400 evaluation of the quality of natural areas at a local or regional scale becomes more realistic.

401

402

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414

415

416 **6 REFERENCES**

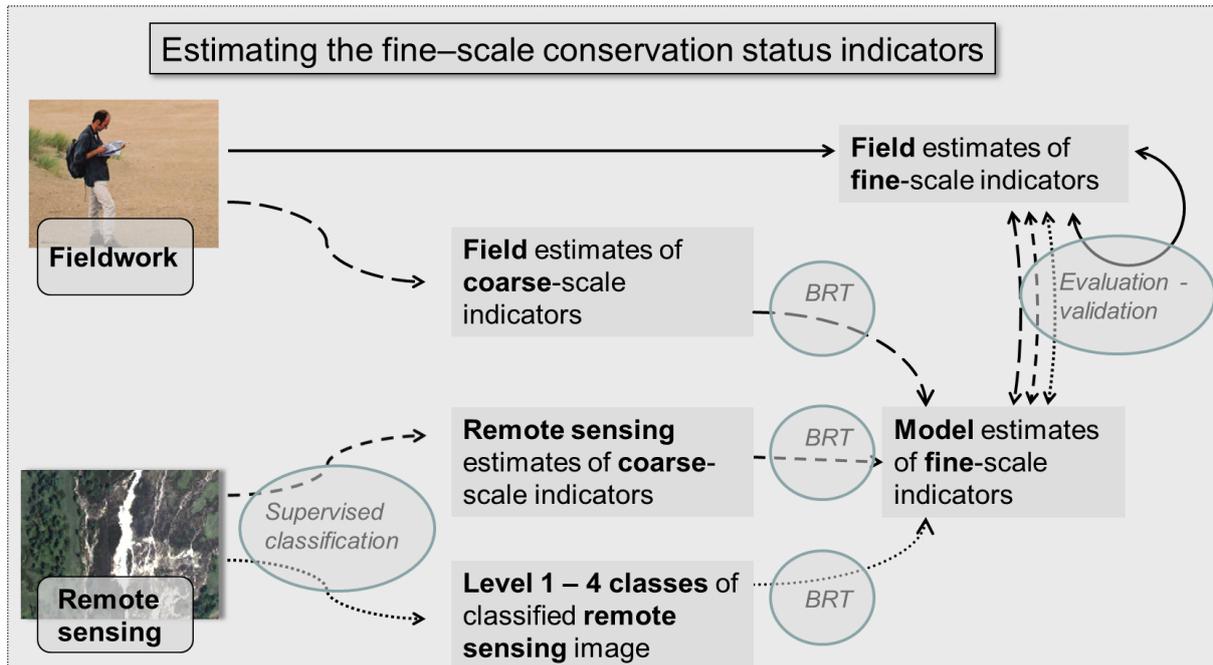
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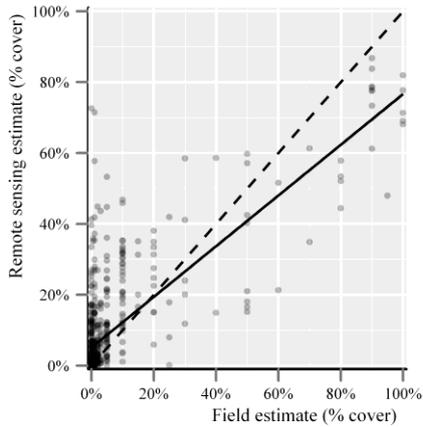
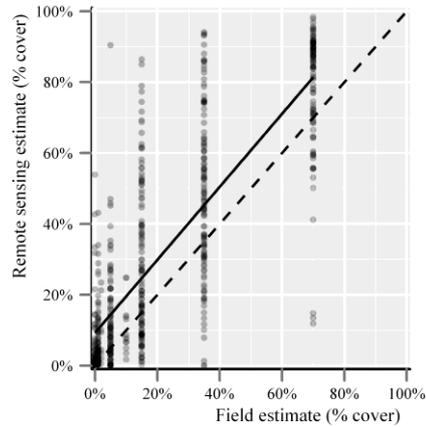
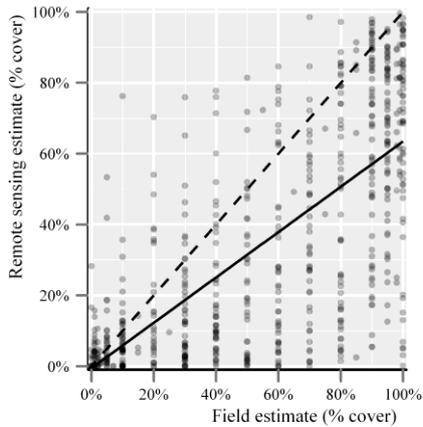
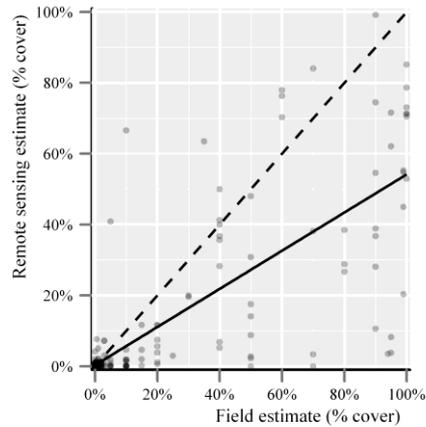
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Figure 1. Pathways to derive fine-scale indicators from fieldwork and remote sensing imagery. Fine-scale indicators were estimated directly in the field (solid line), or were modelled with boosted regression trees (BRT) using either field estimates of coarse-scale indicators (long dashed line), remote sensing estimates of coarse-scale indicators (short dashed line), or all landcover classes from a classified hyperspectral image (dotted line). For validation, model estimates were compared to field estimates, while the between-observer variation was documented to validate the field estimates themselves.

a) tree encroachment ($r = 0.76$, $p < 0.0001$)b) cover of dwarf shrub ($r = 0.82$, $p < 0.0001$)c) grass encroachment ($r = 0.70$, $p < 0.0001$)d) cover of open sand ($r = 0.79$, $p < 0.0001$)

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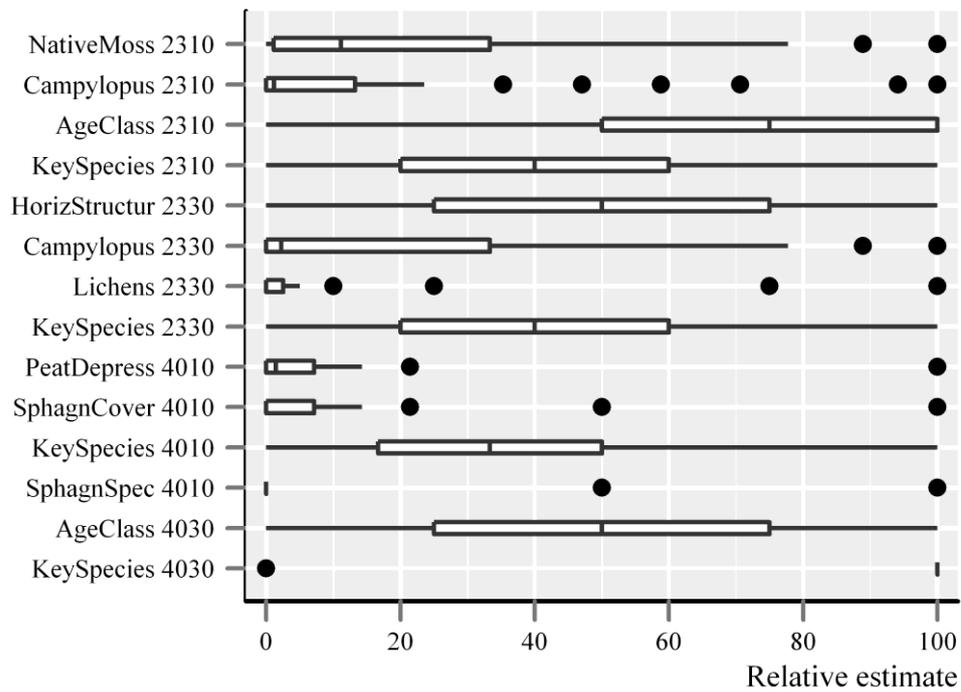
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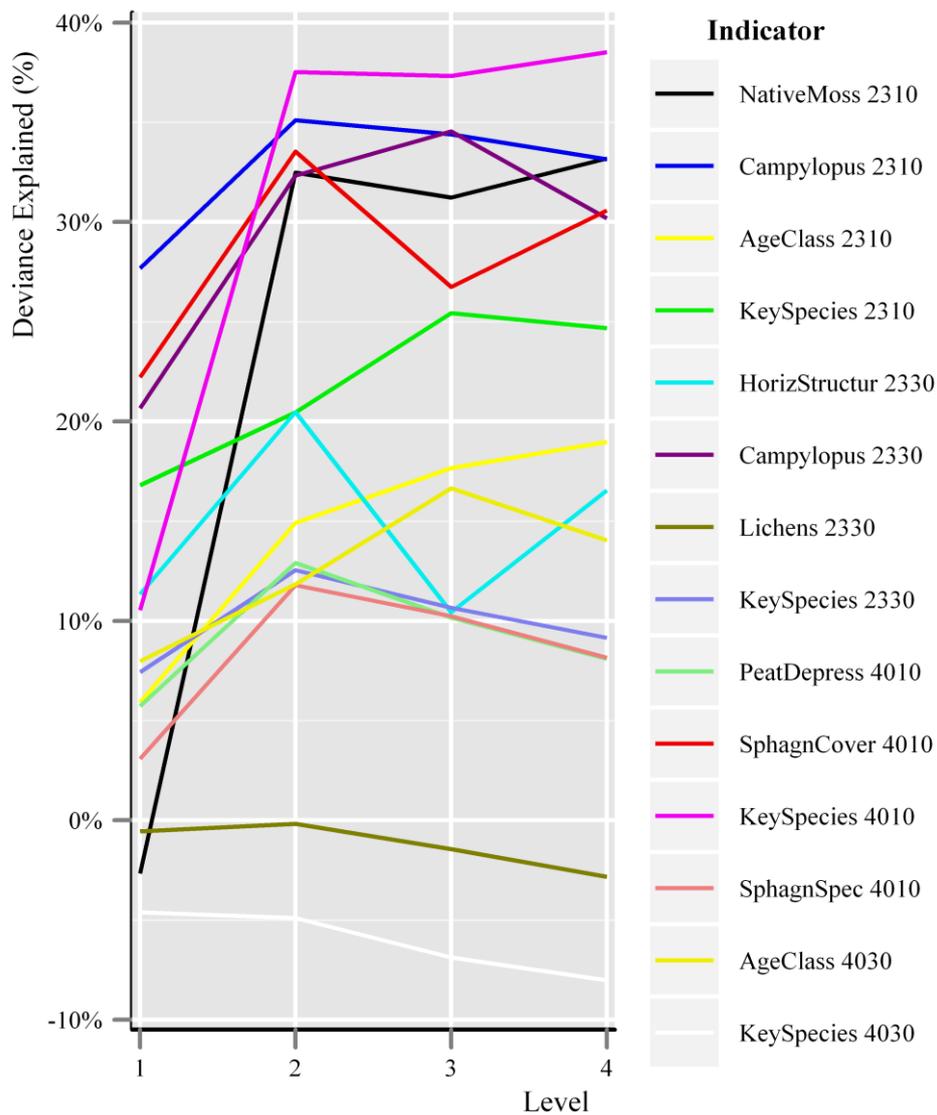
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Figure 2. Relationship between field estimates and estimates derived from a remote sensing land cover map for four coarse-scale quality indicators. Indicators were estimated visually in the field, either on a continuous scale (plots a,c and d, showing the typical bias towards multiples of ten) or as classes of dominance (plot b). Darker dots indicate overlaying data points. The solid black trend line summarizes the observed relationship, the dashed diagonal represents a hypothetical situation with perfect agreement between field and remote sensing estimates.

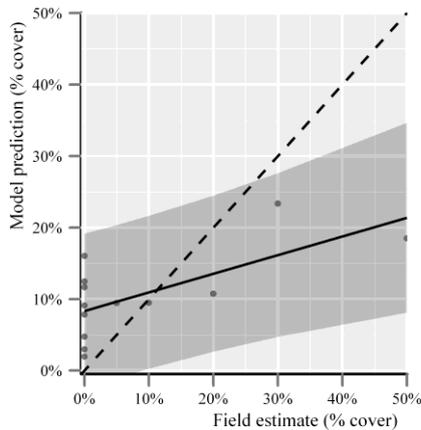
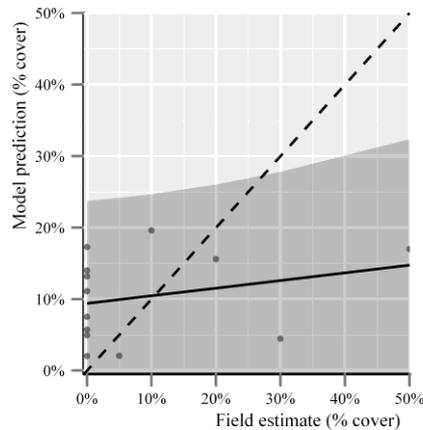
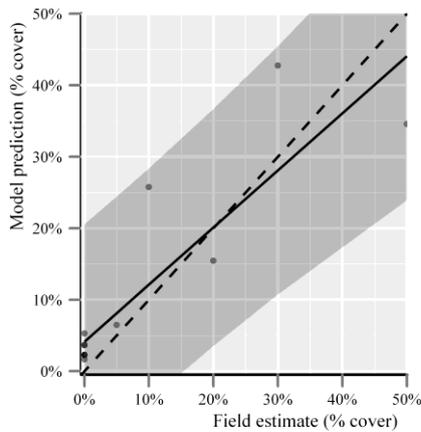
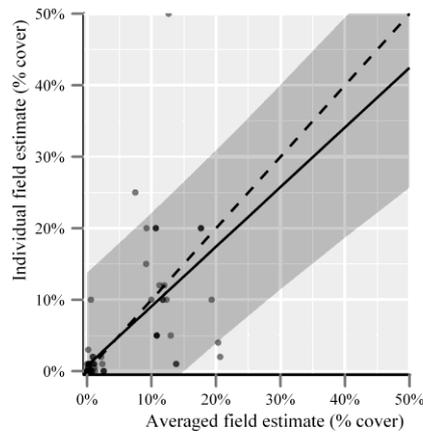


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Figure 3. Comparison of the variability in the 14 fine-scale quality indicators amongst the study plots. Boxes represent the interquartile range (IQR), whiskers cover 1.5 time the IQR. All variables are rescaled to 0 – 100; the width of the rescaled IQR was used as measure of variability for further analysis.



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 585 Figure 4. Performance of boosted regression trees predicting the 14 fine-scale quality
 586 indicators listed in Table 1, based on the percentages of land cover classes for the four levels
 587 of the classification scheme. The proportion of the deviance explained is used as a measure
 588 for model performance. Numbers following the indicator correspond with the Natura 2000
 589 Habitat code (see Table 1). For a colour version of this figure, we refer to the electronic
 590 version of the article.
 591

a) Coarse indicators – field ($r = 0.56$, $p = 0.046$)b) Coarse indicators – remote sens. ($r = 0.21$, $p = 0.48$)c) Level 4 – remote sensing ($r = 0.86$, $p = 0.0001$)d) Between-observer variability ($r = 0.73$, $p < 0.0001$)

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594 Figure 5. Example of relationship between field estimates and model predictions, here for the
 595 small-scale indicator “cover of invasive *Campylopus*” using three sets of predictor variables
 596 (a-c). The results of a small exercise to document between-observer variability are given in d),
 597 where individual field estimates are compared with the averaged field estimates (details in
 598 methodology). In all four graphs, data are shown only for plots where the observer variability
 599 was documented ($N = 13$). The trend line $\pm 95\%$ prediction interval summarizes the observed
 600 relationship; the dashed diagonal represents a hypothetical situation with perfect agreement
 601 between field estimates and model predictions.

602

603 Table 1. Four most common Natura 2000 habitat types in the heathland study area, with
 604 indication of sample size in the dataset, and quality indicators for each habitat type.
 605 Characteristics are indicative for a positive⁽⁺⁾ or a negative⁽⁻⁾ status of the habitat patch. For a
 606 full description of the indicators, we refer to T’jollyn et al. (2009).
 607

Natura 2000 habitat code and name	Number of samples (area sampled)	Quality assessment characteristics (C = coarse-scale, F = fine-scale)
2310 - Dry sand heaths with <i>Calluna</i> and <i>Genista</i>	180 samples (61ha)	C: Tree-encroachment ⁽⁻⁾ C: Occurrence of dwarf shrubs ⁽⁺⁾ C: Grass-encroachment ⁽⁻⁾ C: Cover of open soil ⁽⁺⁾ F: Cover of native mosses ⁽⁺⁾ F: Cover of invasive <i>Campylopus</i> ⁽⁻⁾ F: Age structure of <i>Calluna</i> ⁽⁺⁾ F: Number of key species ⁽⁺⁾
2330 - Inland dunes with open <i>Corynephorus</i> and <i>Agrostis</i> grasslands	81 samples (20ha)	C: Tree-encroachment ⁽⁻⁾ C: Grass-encroachment ⁽⁻⁾ C: Cover of open soil ⁽⁺⁾ F: Variation in horizontal structure ⁽⁺⁾ F: Cover of invasive <i>Campylopus</i> ⁽⁻⁾ F: Cover of lichens ⁽⁺⁾ F: Number of key species ⁽⁺⁾
4010 - Northern Atlantic wet heaths with <i>Erica tetralix</i>	265 samples (93ha)	C: Tree-encroachment ⁽⁻⁾ C: Occurrence of dwarf shrubs ⁽⁺⁾ C: Grass-encroachment ⁽⁻⁾ F: Occurrence of peat depressions ⁽⁺⁾ F: Occurrence of <i>Sphagnum</i> ⁽⁺⁾ F: Number of key species ⁽⁺⁾ F: Number of <i>Sphagnum</i> species ⁽⁺⁾
4030 - European dry heaths	145 samples (48ha)	C: Tree-encroachment ⁽⁻⁾ C: Occurrence of dwarf shrubs ⁽⁺⁾ C: Grass-encroachment ⁽⁻⁾ F: Age structure of <i>Calluna</i> ⁽⁺⁾ F: Number of key species ⁽⁺⁾

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Table 2. Hierarchical classification scheme of the land-cover classes in the heathland study area. (adapted from the HABISTAT classification scheme, <http://habistat.vgt.vito.be/modules/Results/FWDL.php>).

Level 1	Level 2	Level 3	Level 4						
H	Heathland	Hd	Dry heathland	Hdc	<i>Calluna</i> -dominated heathland	Hdcy	<i>Calluna</i> -stand of predominantly young age		
				Hdca	<i>Calluna</i> -stand of predominantly adult age	Hdco	<i>Calluna</i> -stand of predominantly old age (open)		
				Hdcm	<i>Calluna</i> -stand of (2 or 3) mixed age classes	Hdwe	<i>Erica</i> -dominated heathland		
	Hw	Wet heathland	Hwe	<i>Erica</i> -dominated heathland	Hgm	<i>Molinia</i> -dominated heathland	Hgmd	<i>Molinia</i> -stand on dry soil (presumed to have developed from former Hdc)	
					Hgmw	<i>Molinia</i> -stand on moist (wet) soil (presumed to have developed from former Hwe)			
					Hgmw	<i>Molinia</i> -stand on moist (wet) soil (presumed to have developed from former Hwe)			
G	Grassland	Gt	Temporary grassland	Gt-	Temporary grassland	Gt--	Temporary grassland		
				Gp	Permanent grassland	Gpa	Permanent grassland in intensive agricultural use	Gpap	Species-poor permanent agricultural grassland
		Gpn	Permanent grassland with semi-natural vegetation	Gpj	<i>Juncus effusus</i> -dominated grassland	Gpar	Species-rich permanent agricultural grassland	Gpnd	Dry semi-natural permanent grassland
						Gpnd	Dry semi-natural permanent grassland	Gpj-	<i>Juncus effusus</i> -dominated grassland
						Gpj-	<i>Juncus effusus</i> -dominated grassland		
F	Forest	Fc	Coniferous forest	Fcp	Pine (<i>Pinus</i> sp.) forest	Fcpc	Corsican pine (<i>Pinus nigra laricio</i>)		
				Fcps	Scots pine (<i>Pinus sylvestris</i>)	Fdb-	Birch (<i>Betula</i> sp.) forest		
		Fd	Deciduous forest	Fdb	Birch (<i>Betula</i> sp.) forest	Fdq	Oak (<i>Quercus</i> sp.) forest	Fdqz	Pedunculate oak (<i>Quercus robur</i>)
						Fdqz	Pedunculate oak (<i>Quercus robur</i>)		
S	Sand dune	Sb	Bare sand	Sb-	Bare sand	Sb--	Bare sand		
				Sfg	Sand dune with grasses as important fixators	Sfgm	Sand dune fixated by grasses and mosses		
		Sf	Fixated sand dune	Sfm	Sand dune with mosses as dominating fixators	Sfmc	Fixated sand dune with predominantly <i>Campylopus introflexus</i>	Sfmp	Fixated sand dune with predominantly <i>Polytrichum piliferum</i>
						Sfmp	Fixated sand dune with predominantly <i>Polytrichum piliferum</i>		
W	Water body	Wo	Oligotrophic water body	Wov	Shallow, vegetated oligotrophic water body (banks of 'moorland pools')	Wov-	Shallow, vegetated oligotrophic water body (banks of 'moorland pools')		
				Wou	Unvegetated (deep) oligotrophic water (centre of 'moorland pools')	Wou-	Unvegetated (deep) oligotrophic water (centre of 'moorland pools')		
A	Arable fields	Ac	Arable field with crop	Acm	Arable field – maize	Acm-	Arable field – maize		
				Aco	Arable field - other crops	Aco-	Arable field - other crops		

Table 3. Evaluation statistics of boosted regression trees (BRT) predicting fine-scale quality indicators. Predictors were (a) four broad-scale variables (tree encroachment, shrub cover, grass encroachment and cover of sand) estimated in the field, (b) the same broad-scale variables derived from a remotely sensed land-cover map and (c) detailed landcover classes (level 4 of the classification scheme). Evaluation statistics of field measurements are provided for comparison (d). For BRT results, mean values \pm standard deviation of a 10 fold cross-validation are given.

Habitatype and indicator		a) field estimates 4 coarse variables			b) remote sensing estimates 4 coarse variables		
		Expl. Dev. (\pm SD)	Correlation (\pm SD)	RMSE (\pm SD)	Expl. Dev. (\pm SD)	Correlation (\pm SD)	RMSE (\pm SD)
2310	Cover of native mosses	0.38 (\pm 0.06)	0.64 (\pm 0.05)	18.24 (\pm 0.95)	0.33 (\pm 0.04)	0.59 (\pm 0.03)	18.61 (\pm 1.27)
	Cover of invasive <i>Campylopus</i>	0.18 (\pm 0.03)	0.44 (\pm 0.05)	15.92 (\pm 1.63)	0.33 (\pm 0.07)	0.62 (\pm 0.06)	15.01 (\pm 1.57)
	Age structure of <i>Calluna</i>	0.26 (\pm 0.03)	0.58 (\pm 0.05)	0.85 (\pm 0.05)	0.16 (\pm 0.13)	0.49 (\pm 0.09)	0.90 (\pm 0.06)
	Number of key species	0.31 (\pm 0.07)	0.59 (\pm 0.05)	0.87 (\pm 0.05)	0.20 (\pm 0.06)	0.50 (\pm 0.05)	0.96 (\pm 0.06)
2330	Variation in horizontal structure	0.07 (\pm 0.06)	0.36 (\pm 0.07)	1.06 (\pm 0.07)	0.03 (\pm 0.06)	0.29 (\pm 0.05)	1.09 (\pm 0.06)
	Cover of invasive <i>Campylopus</i>	0.25 (\pm 0.09)	0.58 (\pm 0.08)	22.37 (\pm 2.14)	0.28 (\pm 0.07)	0.64 (\pm 0.06)	23.15 (\pm 2.87)
	Cover of lichens	-0.27 (\pm 0.68)	0.40 (\pm 0.05)	2.54 (\pm 1.01)	-0.05 (\pm 0.16)	0.35 (\pm 0.06)	3.13 (\pm 0.91)
	Number of key species	0.08 (\pm 0.05)	0.46 (\pm 0.08)	1.26 (\pm 0.12)	0.01 (\pm 0.05)	0.23 (\pm 0.07)	1.36 (\pm 0.08)
4010	Occurrence of peat depressions	0.09 (\pm 0.13)	0.42 (\pm 0.07)	5.84 (\pm 1.34)	0.08 (\pm 0.08)	0.38 (\pm 0.07)	6.03 (\pm 1.27)
	Occurrence of <i>Sphagnum</i>	0.19 (\pm 0.17)	0.51 (\pm 0.05)	6.96 (\pm 0.77)	0.23 (\pm 0.12)	0.55 (\pm 0.06)	7.22 (\pm 1.21)
	Number of key species	0.43 (\pm 0.06)	0.66 (\pm 0.05)	1.07 (\pm 0.05)	0.35 (\pm 0.08)	0.62 (\pm 0.05)	1.13 (\pm 0.06)
	Number of <i>Sphagnum</i> species	0.16 (\pm 0.1)	0.43 (\pm 0.09)	0.44 (\pm 0.03)	0.11 (\pm 0.06)	0.44 (\pm 0.06)	0.45 (\pm 0.03)
4030	Age structure of <i>Calluna</i>	0.25 (\pm 0.05)	0.55 (\pm 0.05)	0.92 (\pm 0.04)	0.07 (\pm 0.06)	0.33 (\pm 0.04)	1.04 (\pm 0.04)
	Number of key species	0.03 (\pm 0.04)	0.32 (\pm 0.06)	0.23 (\pm 0.02)	-0.05 (\pm 0.06)	0.02 (\pm 0.05)	0.23 (\pm 0.04)
Habitatype and indicator		c) Level 4 land cover classes			d) intra-observer variability		
		Expl. Dev. (\pm SD)	Correlation (\pm SD)	RMSE (\pm SD)	Expl. Dev.	Correlation	RMSE
2310	Cover of native mosses	0.33 (\pm 0.07)	0.60 (\pm 0.05)	18.63 (\pm 1.34)	0.70	0.84	15.10
	Cover of invasive <i>Campylopus</i>	0.33 (\pm 0.07)	0.61 (\pm 0.05)	15.03 (\pm 1.75)	0.37	0.62	6.50
	Age structure of <i>Calluna</i>	0.19 (\pm 0.08)	0.52 (\pm 0.07)	0.89 (\pm 0.05)	0.17	0.45	0.75
	Number of key species	0.25 (\pm 0.05)	0.55 (\pm 0.04)	0.92 (\pm 0.05)	0.56	0.75	0.74
2330	Variation in horizontal structure	0.17 (\pm 0.08)	0.53 (\pm 0.10)	1.00 (\pm 0.05)	not tested	not tested	not tested
	Cover of invasive <i>Campylopus</i>	0.30 (\pm 0.09)	0.68 (\pm 0.08)	22.75 (\pm 2.90)	not tested	not tested	not tested
	Cover of lichens	-0.03 (\pm 0.07)	0.31 (\pm 0.11)	3.20 (\pm 0.91)	not tested	not tested	not tested
	Number of key species	0.09 (\pm 0.05)	0.39 (\pm 0.07)	1.30 (\pm 0.09)	not tested	not tested	not tested
4010	Occurrence of peat depressions	0.08 (\pm 0.09)	0.39 (\pm 0.07)	6.02 (\pm 1.23)	not tested	not tested	not tested
	Occurrence of <i>Sphagnum</i>	0.31 (\pm 0.15)	0.62 (\pm 0.05)	6.82 (\pm 1.15)	not tested	not tested	not tested
	Number of key species	0.39 (\pm 0.06)	0.66 (\pm 0.05)	1.09 (\pm 0.04)	not tested	not tested	not tested
	Number of <i>Sphagnum</i> species	0.08 (\pm 0.08)	0.40 (\pm 0.06)	0.45 (\pm 0.04)	not tested	not tested	not tested
4030	Age structure of <i>Calluna</i>	0.14 (\pm 0.04)	0.46 (\pm 0.07)	0.99 (\pm 0.05)	not tested	not tested	not tested
	Number of key species	-0.08 (\pm 0.14)	0.10 (\pm 0.07)	0.23 (\pm 0.03)	not tested	not tested	not tested

Table 4. Pairwise comparison of model performances using field and remote sensing (RS) estimates of the four coarse-scale quality indicators and the level 1 to 4 land cover classes as predictor variables. Results of Wilcoxon signed rank paired tests on the proportion of the deviance explained are given. The difference (“diff”) corresponds with the median of the column level minus the row level; significant differences are indicated in bold.

	Level1	Level2	Level3	Level4	Coarse field indicators
- Coarse RS indicators	diff = -0.033 p = 0.15	diff = 0.037 p = 0.0023	diff = 0.031 p = 0.0040	diff = 0.031 p=0.020	diff = 0.040 p = 0.19
- Level1		diff = 0.081 p < 0.001	diff = 0.069 p = 0.0017	diff = 0.067 p = 0.0017	diff = 0.071 p = 0.11
- Level2			diff = -0.010 p = 0.50	diff = -0.013 p = 0.24	diff = -0.018 p = 0.58
- Level3				diff = -0.004 p = 0.54	diff = -0.001 p = 1.00
- Level4					diff = -0.005 p = 0.90